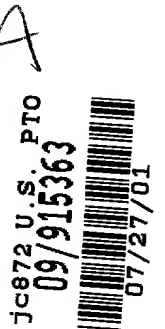


THE HONORABLE COMMISSIONER OF
PATENTS & TRADEMARKS
WASHINGTON, D.C. 20231, U.S.A.



INVENTOR(S): Stéphanie Maric-Julie Jette
Pierre Simon Joseph Berini

ENTITLED: Optical Waveguide Filters

DOCKET NO: AP875US

THE ATTACHED STAMP CONFIRMS RECEIPT BY THE UNITED STATES PATENT AND
TRADEMARK OFFICE OF THE FOLLOWING:

- ☒ Abstract
- ☒ Specification - 31 pages
- ☒ Claims 1 - 35
- ☒ Drawing(s) 24 sheet(s)
- ☒ Declaration for Utility or Design Patent Application
- ☒ Power of Attorney or Authorization of Agent (2)
- ☐ Declaration in compliance with 37 C.F.R. §1.63
- ☐ Certified Copy of Priority Application
- ☒ Priority is claimed from US provisional application No. 60/20,519
- ☒ C-I-P of US patent applications Nos. 09/742,422 and 09/629,816
- ☒ Assignment(s) to 3849988 Canada Inc.
- ☐ Information Disclosure Statement (incl. 2 copies of Form 1449)
- ☐ Preliminary Amendment
- ☒ Fee Transmittal Form PTO/SB/17 two copies
- ☒ Other - Authorization to deduct fee or any deficiency from Deposit account No. 20-0771

09915363 072701

PTO/SB/17 (11-00)

Approved for use through 10/31/2002, OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$1016.00)

Complete if Known

Application Number
Filing Date
First Named Inventor **Stéphanie Marie-Julie JETTE**
Examiner Name
Group Art Unit
Attorney Docket No. **AP875US**

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **20-0771**Deposit Account Name **Adams Cassan Maclean**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	Utility filing fee	355.00
106	320	206	Design filing fee	
107	490	207	Plant filing fee	
108	710	208	Reissue filing fee	
114	150	214	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
74	-20** = 54	9	486.00
8	-3** =		
Multiple Dependent		135	135.00

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description
103	18	203	Claims in excess of 20
102	80	202	Independent claims in excess of 3
104	270	204	Multiple dependent claim, if not paid
109	80	209	** Reissue independent claims over original patent
110	18	210	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$621.00)

**or number previously paid. If greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	300	216	185	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40.00)

SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone	Date
Thomas Adams	31078	(613) 828 0012	26 July '01
Signature			

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

11003 U.S. PTO
07/27/01

09915363 072701